The Way of Chinese Characters Expanded Edition

漢字之道

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Table of Contents, Preface, Bibliography, Excerpts from "Radicals" and "Lesson 1," Excerpts from "Appendix" and "Character Index by Pinyin"

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of CHINESE CHARACTERS

漢字之道

THE ORIGINS OF 450 ESSENTIAL WORDS

Expanded Edition

JIANHSIN WU
ILLUSTRATED BY CHEN ZHENG AND CHEN TIAN



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Expanded Edition

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PREFACE

Mastering characters is often the most challenging task for learners of Chinese. Unlike an alphabetical language with a writing system composed of a limited number of letters, the Chinese writing system is built upon about 200 radicals, which are the most basic components of Chinese characters. (Radicals, along with stroke counts, also provide the organizing principle for Chinese dictionaries.) Although there are over 50,000 Chinese characters, 2,500 characters are required for basic literacy. Furthermore, the pronunciation of a particular character does not necessarily relate to its meaning. The sheer number of Chinese characters, in addition to the frequent lack of visual pronunciation guides, makes character memorization a significant challenge for many.

Paradoxically, this complexity is precisely what draws many people to learn Chinese. The presence of pictographic elements in Chinese characters is one of the unique and fascinating aspects of the language. Most radicals, for example, are pictographs, or visual representations of objects or concepts. Given a pictograph, learners can turn the character into a vivid picture, or associate the character with a shape, color, sound, smell, feeling, emotion, movement, or action. When using this method of employing pictographs as memory aids, students will find that learning Chinese characters can be enjoyable, and can provide valuable insight into Chinese culture.

We believe that each and every Chinese character is a crystallization of the wisdom and creativity of our ancient Chinese ancestors. When given the logical and historical origins of each character, as described in this book, learners can also remember characters in an efficient and intelligent manner, rather than mechanically reproducing strokes that may seem meaningless to them. Students can also acquire knowledge of Chinese history and culture while learning the origins and evolution of characters, as their pictographic features often reflect vivid aspects of ancient life, such as agricultural and domestic life, war, trade materials, crafts, rituals, etc.

After studying *The Way of Chinese Characters*, learners will understand pictographic forms, interpret the logic behind the meanings of characters, and know something about the ancient forms of the most commonly occurring characters.

Selection and Presentation of Characters

The characters included in this book are frequently used in modern Chinese, and correspond with the glossary of the Second and Third Editions of *Integrated Chinese, Level 1, Part 1 Textbook* (by Tao-chung Yao, Yuehua Liu, et. al.), a Chinese textbook used at colleges and high schools across the United States.

Explanations are given in both English and Chinese. The English entries are meant for students, while the Chinese entries may serve as references for teachers and advanced learners.

For each entry, we display the character in its various ancient scripts (see **Types of Script** below), and we include each character's classification, which indicates how the character was constructed (see **Types of Characters** below). Illustrations help readers instantly connect the characters' pictographic elements to their meanings, both ancient and modern.

Also included are four indices, organized by chapter, *pinyin*, and stroke count (of both traditional and simplified characters), respectively. We hope all readers will find these indices convenient and practical.

Types of Script

The characters in *The Way of Chinese Characters* are written in "Regular Script" (or traditional characters) and simplified characters. Regular Script can be traced to the late Han Dynasty (207 B.C.–220 A.D.) and is still used in Taiwan, Hong Kong, and many overseas Chinese communities. Simplified characters were introduced and promoted by the government of the People's Republic of China in the 1950s, and have since remained the standard in mainland China.

In this book we focus on Regular Script, or traditional form, because we have found that it is often difficult for beginning learners to appreciate the visual flavor of simplified Chinese characters. We present the ancient forms of the characters and provide illustrations, so that students can identify characters' original pictographic traits. We hope

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that with such imagery in mind, students will have a much easier time remembering Chinese characters.

This book also introduces other forms of Chinese script including "Oracle-Bone Inscriptions 甲骨文," "Bronze Inscriptions 金文," "Seal Script 篆文," and "Cursive Script 草書." "Oracle-Bone Inscriptions" come from carvings on ox bones or tortoise shells, which were used during the Shang Dynasty (ca.1600–ca.1100 B.C.) to record events and devise predictions. "Bronze Inscriptions" are found on bronze vessels of the Shang and Zhou Dynasties (Zhou Dynasty: ca.1100–ca. 221 B.C.). "Seal Script" includes both "Big Seal Script" and "Small Seal Script." The former was used in the Qin State during the Eastern Zhou Dynasty (ca.770–ca.221 B.C.) and the latter became official in the Qin Dynasty (221–207 B.C.). As an abbreviated form of traditional Chinese characters, "Cursive Script" originates from the Han Dynasty (207 B.C.–220 A.D.). These characters are written swiftly such that the strokes flow together, and were thus considered an artistic form of Chinese calligraphy. Many of the simplified characters used in mainland China today were born out of this cursive style.

In the book, we display each character in its various forms: Oracle-Bone Inscriptions, Bronze Inscriptions, and Seal Script, alongside Regular Script and simplified forms. You will notice that some characters are without ancient forms, however, such as 她 (tā, she), 您 (nín, polite form of the pronoun you), and 啤(pí, beer), as these were created in later periods. Cursive Script is also shown for those simplified characters which were derived from the cursive style.

Types of Characters

Chinese characters are constructed differently from alphabetic languages. According to the Han dynasty scholar Xu Shen, in his *Analysis and Explanation of Characters*, they can be divided into six basic categories: pictographs (象形), explicit characters (指事), associative compounds (會意), picto-phonetic characters (形聲), mutually explanatory characters (轉注), and phonetic loan characters (假借).

Pictographs delineate the shape of certain objects or their parts. Examples include:

Preface vii

木 (mù, wood; tree), 刀 (dāo, knife), 女 (nǚ, woman), and 馬 (mǎ, horse). Although such characters are relatively easy to identify, the limitation of this particular category is that pictographs cannot convey more abstract meanings.

Explicit characters are simple diagrammatic indications of abstract ideas, such as \pm (shàng, above), or \mp (xià, below). Others are formed with the addition of a symbol to an existing pictograph, such as \pm (běn, root; basic), or π (rèn, edge). Explicit characters constructed via this method comprise only a small proportion.

The meanings of associative characters are derived from their components, which may combine two or more ideographs. Examples include 明 (míng, bright, the combination of \exists rì, sun and \exists yuè, moon), and 森 (sēn, forest, the combination of three trees 木 mù).

The majority of Chinese characters are picto-phonetic, which combine semantic and phonetic components. For instance, the character 媽 (mā, mother) consists of \pm (nǚ, female) and 馬 (mǎ, horse). \pm suggests the general meaning of the character while 馬 signals its pronunciation.

According to Xu Shen, mutually explanatory (or synonymous) characters refer to those that are of the same or similar meanings, and thus can be used to define one another, e.g., 老 (lǎo, old; aged) and 考 (kǎo, aged; long life; test). However, the exact meaning of this category is ambiguous. Some contemporary scholars consider that the characters in this category actually refer to those later invented characters for recovering their original meanings. A common way to make this type of characters is to add radicals or other components to the original characters. The character 蛇 (shé, snake) is an example from this category. The character 宧 (tā) was a pictograph of a cobra-like snake and originally meant "snake". Later 宧 was borrowed to mean "other," "it," etc., and these meanings overwhelmed its original meaning. Therefore, a worm radical 虫 was added to the left of 宧 to make a new character 蛇. Other examples are 灸/久 (L. 4), 燃/然 (L. 9), and 鼻/自 (L.11).

Phonetic loan characters refer to those that originally had no written form, and so borrowed existing characters of the same or similar pronunciation. For example, the character 我 resembles a weapon with a saw-toothed blade and long shaft, and originally

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referred to a kind of ancient weapon. Because the pronunciation of this character is similar to that of the pronoun "I," 我 was borrowed to mean "I," or "me."

Using This Book as a Teaching Tool

This book is the result of a serious, meticulous, and extensive study of the origins of Chinese characters. Many of the books currently on the market on this topic offer learners imaginative, yet inaccurate pictorial representations of characters. While imagination can help learners remember Chinese characters, such historically groundless explanations may misinform them. This book's academic, accurate, and straightforward explanations allow learners to study Chinese characters thoughtfully, but without the risk of becoming overwhelmed by overly detailed information on origin and evolution.

It is our belief that this book will provide teachers with a new, efficient, interesting, and scholarly way to teach Chinese characters to learners of Chinese, as well as learners of Japanese and Korean, whose writing systems employ Chinese characters. At Pomona College, where this book is required reading material for our beginners' classes, the explanation of certain characters, such as 沒 (méi, have not, **Lesson 2**), 家 (jiā, family; home, **Lesson 2**), 教 (jiāo, teach, **Lesson 7**), 黑 (hēi, black, **Lesson 9**), often induce laughter and excitement. We give tests weekly, asking students to briefly explain how some characters came into being, in addition to identifying the characters' *pinyin* spellings and English definitions. We have noticed that our students not only memorize Chinese characters more quickly and logically, but also retain far more knowledge about the Chinese language in general.

It is our expectation that this book will benefit all learners of Chinese characters, especially those who have difficulty memorizing numerous characters. In short, we hope that reading *The Way of Chinese Characters* helps learners overcome the obstacles to memorizing Chinese characters in an academically sound and creatively engaging way.

Jianhsin Wu August 2009

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SAMPLE

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RADICALS

Key: 甲 refers to the Oracle-Bone Inscriptions, 金 the Bronze Inscriptions, 篆 the Seal Script, and 草 the Cursive Script. See the Preface for more information.



rén (man; person; humankind)

Pictograph. In the Oracle-Bone and Bronze Inscriptions, the character 人 presents the profile of a figure with a head, arched back, arms, and legs, which could indicate the early stage of humankind as evolved from primates. Later, the character came to resemble a person with two long legs. The person radical 1 is often used in characters related to human beings and their activities, such as 你 (nǐ, you), 他 (tā, he; him), 住 (zhù, to live), and 休 (xiū, to rest).



人 象形。甲骨文、金文像有頭、背、臂、腿的側面人形。



刀 dāo (knife)

Pictograph. In ancient writing systems, the character $\,\mathcal{I}\,$ resembles a knife, with the upper part as the handle and the lower part as the edge. In Regular Script, the handle is shortened so that it becomes almost unnoticeable. Characters with the knife radical $\,\mathbb{J}\,$ usually have something to do with knives, or cutting, such as $\,\mathbb{H}\,$ (bié, to separate), $\,\mathbb{H}\,$ (cì, to stab), and $\,\mathbb{H}\,$ (lì, sharp).



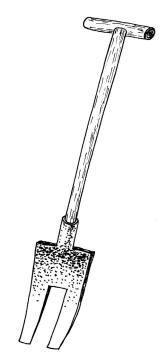
刀 象形。像刀形。上像刀柄,下像刀刃及刀背。



力 lì (physical strength; power)

Pictograph. In both the Oracle-Bone and Bronze Inscriptions, the character $\mathcal D$ resembles an ancient plow, with the upper part as the handle and the lower part as the plowshare. Since plowing requires great physical strength, $\mathcal D$ means "strength." In Regular Script, $\mathcal D$ is similar in form to $\mathcal D$ (dāo, knife) except that its top sticks out.

力 象形。甲骨文、金文均像耕田用具。因耕田要有力,引申為力氣。



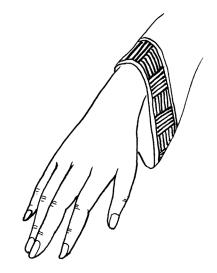
SAMPLE



X yòu (right hand; again)

Pictograph. In the ancient writing systems, the character X represents a right hand. Later X came to mean "again," possibly because most people are right-handed and therefore use their right hands again and again in daily life.

又象形。像右手形。

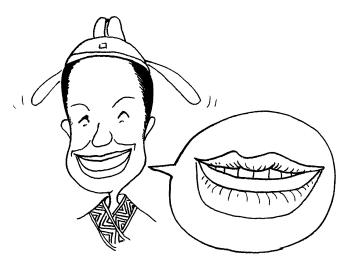


U & U

kǒu (mouth; entrance)

Pictograph. The character 口 looks like an open mouth. Characters with the mouth radical are often associated with the movement of the mouth, e.g. 吃 (chī, to eat), 喝 (hē, to drink), 唱 (chàng, to sing), and 叫 (jiào, to shout).

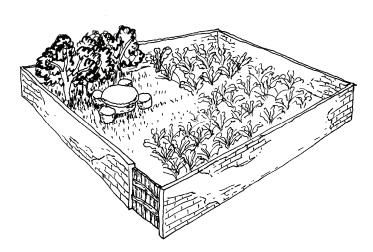
口象形。像人口形狀。



*OSAMPLE

wéi (enclose)

Pictograph. □ represents the periphery or border of an area. Characters relating to boundaries often include the radical □, such as 國/国(gúo, country; state),園/园(yuán, garden; park),圖/图(tú, map). Note that □ is larger than the radical □ (kǒu, mouth), indicating a large area that can contain many objects.



□ 象形。像環围形。從□的字多有外圍或邊界。

Radicals 3

LESSON 1



尤 xiān (first; before; earlier)

Associative compound. In the ancient form, the upper part of 先 is a foot and the lower part is a person, indicating one person walking ahead of another. In Regular Script, the upper part is 土 (tǔ, soil; earth), with a stroke on the left, and the lower part still resembles a person.

先 會意。甲骨文從之(足)在 儿 (人)前,本義為走在他人前面。



中土金土豪生

生 shēng (to be born; to grow)

Associative compound. In the Oracle-Bone inscriptions the character 生 looks like a seedling growing out of the ground. Hence the original meaning of 生 is "the growth of plants." It can also mean "grow," "life," "give birth to," "unripe," "student," etc. In Regular Script the bottom part of 生 is 土 (tǔ, soil; earth), and the upper part resembles grass or plants growing above.



生 會意。像地面上剛長出的一株幼苗,本義指草木生長。



你 nǐ (you)

Phonetic loan character. The character 你 is derived from 爾 (ĕr). In the ancient form, 爾 looks like silkworms spinning silk to make cocoons. Later this character was borrowed to represent the pronoun "you." since the pronunciation of "you" is similar to that of 爾. In Regular Script 爾 is simplified to 尔 with the person radical 【 added on the left.



你 古時寫作爾, 像蠶吐絲結繭。一説像花枝垂下之形。假借為第二人稱的代詞。 楷書加人字旁。

好 hǎo (good; fine; O.K.)

Associative compound. In the Oracle-Bone Inscriptions and Bronze Inscriptions, the character 好 shows a woman holding a child. In traditional Chinese society, giving birth to children, especially sons, was a married woman's main responsibility. The inability to bear children was considered a legitimate reason for a husband to divorce his wife. Mencius said: "There are three major sins against filial piety, and the worst is to have no heir." It follows that women who had sons were considered good. You have already learned both 女 (nǚ,



female) and 子 (zǐ, child) in the Radicals Section.

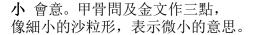
好 會意。從女、從子,以能生兒育女使家族興旺的婦女為好。

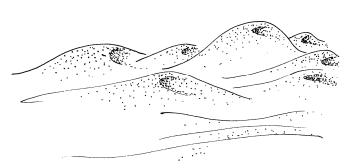
28 Lesson 1



/ xiǎo (small)

Associative compound. In the Oracle-Bone Inscriptions and Bronze Inscriptions, the character 小 consists of three dots, like three tiny grains of sand. In its later forms, 小 resembles a knife (represented by the vertical hook in the middle) cutting something into two smaller pieces.

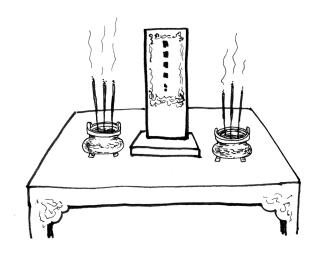




新月 類 jiě (elder sister)

Pictophonetic character. The character 姐 contains 女 (nǚ, female) as the radical and 且 (qiě) as the phonetic element. This combination shows that the character relates to something female and is pronounced as, or similar to, the sound of 且 (qiě)*. In the Oracle-Bone and Bronze Inscriptions, the character 且 looks like a memorial tablet used in ancestral worship. The original meaning of 且 is "ancestor."

姐 形聲。從女,且聲。且在甲骨文 金文中像代表祖先之靈的牌位,供祭 祀時用。



Lesson I 29

^{*}Please note that this book uses Mandarin pinyin to show pronunciation, which may not reflect ancient pronunciation. Some characters contain phonetic elements that seem unrelated to pronunciation; this may be because the remaining phonetic element within the character refers to the ancient pronunciation. It is difficult to trace the history of such pronunciation changes due to changes in the pronunciations of phonetic elements themselves.

APPENDIX

Explanations in Simplified Characters

Key: 甲 refers to the Oracle-Bone Inscriptions,

金 the Bronze Inscriptions, 篆 the Seal Script, and

草 the Cursive Script. See the Preface for more information.

Radicals



人 象形。甲骨文、金文像有头、背、臂、腿的侧面人形。



JJAH

力 象形。甲骨文、金文均像耕田用具。因耕田要有力,引申为力气。



又 象形。像右手形。



口象形。像人口形状。



□ 象形。像环围形。从□的字多有外围或边界。



土 象形。像一土块状,下方 "一"字意指大地。



夕 象形。像半个月亮,傍晚或夜晚之意。



大 象形。像伸展双臂的正面人形。天地万物中以人为大为贵,故用人形表示"大"意。



女 象形。甲骨文像女子俯首,双臂交叉下跪形。



子 象形。像襁褓之中的婴儿。



寸 指事。从又,从一。"又"为右手,"一"指手后一寸之处。中医所言寸口。



小 会意。甲骨文、金文作三点,表示沙粒微小的意思。

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CHARACTER INDEX

(by Pinyin)

KEY

Bound Form (a character that is "bound

together" with another character—it appears in

combination with another character, not by itself)

MW Measure Word

P Particle

QP Question Particle

A						
啊	a	P	137			
愛/爱	ài	love; be fond of	61			
	В					
八	bā	eight	24			
爸	bà	dad	48			
吧	ba	question indicator;	115			
	00	onomatopoeic	113			
白	bái	white	78			
百	băi	hundred	190			
半	bàn	half	76			
辦/半	bàn	manage	132			
幫/帮	bāng	help	135			
報/报	bào	newspaper	162			
杯	bēi	cup; glass	116			
北	běi	north	214			
貝/贝	bèi	cowry shell	16			
備/备	bèi	prepare	140			
筆/笔	bĭ	pen				
比	bĭ	compare	201			
邊/边	biān	side	159			
便	biàn	convenient	131			
別/别	bié	other	103			
不	bù	not; no	40			
步	bù	step	171			
С						

才	cái	just; not until; only	121		
菜	cài	vegetable; dish; food	84		
餐	cān	meal	161		
茶	chá	tea	113		
常	cháng	often	94		
長/长	cháng	long	196		
場/场	chăng	field	221		
唱	chàng	sing	88		
車/车	chē	car	222		
襯/衬	chèn	lining	181		
城	chéng	wall; city wall; city	216		
吃	chī	eat	68		
出	chū	go out	208		
除	chú	except	168		
楚	chŭ	clear; neat	171		
穿	chuān	wear	184		
牀/床	chuáng	bed	158		
春	chūn	spring	211		
詞/词	cí	word	149		
次	cì	MW for occurrence	213		
寸	cùn	inch	6		
錯/错	cuò	wrong; error	98		
D					
打	dă	hit; strike	86		
大	dà	big; great	5, 67		
但	dàn	but	138		

刀(刂)	dāo	knife	1				
到	dào	go to; arrive	132		•	G	•
道	dào	road; way	139				
得	dé	obtain; get	100	剛/刚	gāng	just now	208
的	de	P	47	高	gāo	tall; high	55
得	děi	must; have to	100	糕	gāo	cake	207
等	děng	wait	134	告	gào	tell; inform	164
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